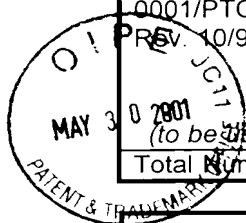


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0001/PTO
REV. 10/95

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of pages in this Submission

17

Application Number

09/819,365

Filing Date

March 28, 2001

First Named Inventor

Jeffrey E. Callen

Group Art Unit

1722

Examiner Name

Attorney Docket Number

4173-00005

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Response
 - ☐ After final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement/PTO-1449
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/
 - ☒ Incomplete Application
- ☐ Response to Missing Parts Under 37 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition To Convert a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CDs _____

- ☐ After Allowance Communication To Group
- ☐ Appeal Communication to Board Of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (Please identify below)

Return receipt postcard
Substitute specification

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

Or

Individual Name

William L. Falk, Reg. No. 27,709

ANDRUS, SCEALES, STARKE & SAWALL, LLP

100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202

Signature

William L. Falk

Date

5/23/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the 23rd day of May, 2001.

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Christine S. Lewis

Date

May 23, 2001

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/819,365
Filing Date	March 28, 2001
First Named Inventor	Jeffrey E. Callen
Examiner Name	
Group Art Unit	1722
Attorney Docket No.	4173-00005

TO: AMOUNT OF PAYMENT	(\$)	0.00
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METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	01.2000
Deposit Account Name	ANDRUS, SCEALES, STARKE & SAWALL, LLP

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 710 201 355		Utility filing fee	0
106 320 206 160		Design filing fee	0
107 490 207 245		Plant filing fee	0
108 710 208 355		Reissue filing fee	0
114 150 214 75		Provisional filing fee	0

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18 203 9		Claims in excess of 20	
102 80 202 40		Independent claims in excess of 3	
104 270 204 135		Multiple dependent claim, if not paid	
109 80 209 40		**Reissue independent claims over original patent	
110 18 210 9		**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater. - For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	0
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	0
139 130 139 130		Non-English specification	0
147 2,520 147 2,520		For filing a request for ex parte reexamination	0
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	0
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	0
115 110 215 55		Extension for reply within first month	0
116 390 216 195		Extension for reply within second month	0
117 890 217 445		Extension for reply within third month	0
118 1,390 218 695		Extension for reply within fourth month	0
128 1,890 228 945		Extension for reply within fifth month	0
119 310 219 155		Notice of Appeal	0
120 310 220 155		Filing a brief in support of an appeal	0
121 270 221 135		Request for oral hearing	0
138 1,510 138 1,510		Petition to institute a public use proceeding	0
140 110 240 55		Petition to revive - unavoidable	0
141 1,240 241 620		Petition to revive - unintentional	0
142 1,240 242 620		Utility issue fee (or reissue)	0
143 440 243 220		Design issue fee	0
144 600 244 300		Plant issue fee	0
122 130 122 130		Petitions to the Commissioner	0
123 50 123 50		Processing fee under 37 CFR 1.17(q)	0
126 180 126 180		Submission of Information Disclosure Stmt	0
581 40 581 40		Recording each patent assignment per property (times number of properties)	0
146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(b))	0
149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))	0
179 710 279 355		Request for Continued Examination (RCE)	0
169 900 169 900		Request for expedited examination of a design application	0

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY**Complete (if applicable)**

Name (Pnnt/Type)	William L. Falk	Registration No. (Attorney/Agent)	27,709	Telephone	(414) 271-7590
Signature				Date	May 23, 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/819,365	03/28/2001	Jeffrey E. Callen	4173-00005

CONFIRMATION NO. 4337

FORMALITIES LETTER



OC000000006049292

ANDRUS, SCEALES, STARKE & SAWALL, LLP
100 EAST WISCONSIN AVENUE, SUITE 1100
MILWAUKEE, WI 53202

Date Mailed: 05/07/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/819,365	03/28/2001	1722	710	4173-00005	4	12	3

CONFIRMATION NO. 4337

26753

ANDRUS, SCEALES, STARKE & SAWALL, LLP
100 EAST WISCONSIN AVENUE, SUITE 1100
MILWAUKEE, WI 53202

FILING RECEIPT



OC000000006047690

Date Mailed: 05/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Jeffrey E. Callen, Sussex, WI;
Brian R. Hartlmeier, Elm Grove, WI;
Theodore B. Lemke, Saukville, WI;
Michael J. Wiedmeyer, Oconomowoc, WI;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/05/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Two-shot, rotary three station injection mold

Preliminary Class

425

Data entry by : BEYENE, MELKAM

Team : OIPE

Date: 05/07/2001

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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